Date of Deposit January 7, 2005.

I DT03 Rec'd PCT/PTO 0 7 JAN 2005

FORM (REV. 8		S. DEPARTMENT OF COMMERCE ATENT AND TRADEMARK OFFICE	CASE NO. 10808/144 (In1222WOUS)				
(120.0	•	TO THE UNITED STATES	U.S. APPLICATION NO. (If known, see 37 C.F.R.				
		ED OFFICE (DO/EO/US) G UNDER 35 U.S.C. 371	1.5)				
	CONCERNING A FILIN	G UNDER 33 U.S.C. 37 I	10/501,430				
	NATIONAL APPLICATION	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED				
NO.	PCT/DE02/04521	December 10, 2002	January 15, 2002				
	OF INVENTION	SEMICONDUCTOR MEMORY CELL	AND METHOD FOR PRODUCING THE SAME				
	CANT(S) FOR DO/EO/US	SEMICONDUCTOR MEMORT CELL	AND METHOD FOR PRODUCING THE SAME				
		y); Georg TEMPEL, (Dresden, Germany	y) DO/EO/US) the following items and other information:				
l							
1. 📙		of items concerning a filing under 35 U					
2.		SEQUENT submission of items concern					
3. 🔼 (5)	, (6), (9), and (21) indicated b		5 U.S.C. 371(f)). The submission must include items				
4. 🗆	The US has been elected (A	Article 31).					
5. 🗆	A copy of the International A	Application as filed (35 U.S.C. 371(c)(2)).				
	a. 🔲 is transmi	tted herewith (required only if not transr	nitted by the International Bureau).				
	b. 🗌 has been	transmitted by the International Bureau					
;	c. 🗌 is not requ	uired, as the application was filed in the	United States Receiving Office (RO/US).				
6. 🗆	An English translation of the	International Application into English (35 U.S.C. 371(c)(2)).				
	a. 🗌 is attache	d hereto.					
	b. has been	previously submitted under 35 U.S.C. 1	54(d)(4).				
7. 🗆	Amendments to the claims	of the International Application under PC	CT Article 19 (35 U.S.C. 371(c)(3)).				
	a. are transr	nitted herewith (required only if not tran	smitted by the International Bureau).				
	b. have been	n transmitted by the International Burea	u.				
	c. have not l	been made; however, the time limit for r	making such amendments has NOT expired.				
	d. have not l	been made and will not be made.					
8. 🔲	An English translation of the	amendments to the claims under PCT	Article 19 (35 U.S.C. 371(c)(3)).				
	A Declaration for Patent 1(c)(4)).	Application, Power of Attorney & De	esignation of Correspondence Address (35 U.S.C.				
	An English translation of	the annexes to the International Fd/or amendments under Article 34.	Preliminary Examination Report under PCT Article				
Items 1		r document(s) or information include	ed:				
11. 🗆		tatement under 37 CFR 1.97 and 1.98.					
12. 🖾	An assignment document fo	r recording. A separate cover sheet in o	compliance with 37 CFR 3.28 and 3.31 is included.				
13.							
14. 🗀							
15. 🗌							
16.	·						
17.	•	•	th PCT Rule 13 <i>ter.</i> 2 and 37 CFR 1.821-1.825.				
18.		hed international application under 35 L					
19. 🖂			nal application under 35 U.S.C. 154(d)(4).				
20.		Certification that English Translation of					
Respor	other items of information. A ise to Notification of Missing F D/US); copy of Notice; return p	Requirements Under 35 U.S.C. 371 in th	specification is True and Accurate; ne United States Designated/Elected Office				

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50) INT 10/501,430		INTERNATIONAL APPLICATION NO. PCT/DE02/04521		CASE NO. 10808/144 (In1222WOUS)		
21.	The follo	owing fees are submitted:		TO LO LIO TO LI	CALCULATIONS	PTO USE ONLY
		86		\$300.00	0/12002 1110110	1 TO COL CITE
)				
📗 🔲 c) Sea	rch Fee			\$500.00		
☐ Additio		TOTAL OF ABOVE CALCULATIONS pecification and drawings filed in paper over 100	sheets (excluding se	squence listing or computer		
program list	ting filed in a	an electronic medium). The fee is \$250 for each	additional 50 sheets	of paper or fraction thereof.		
Surcharge (37 CFR 1.4		or furnishing the oath or declaration later than	☑ 30 months from the state of the stat	ne earliest claimed priority date	130	
	Claims	Number Filed	Number Extra	Rate		
Total Clain	ns	- 20 =		x \$ 50.00	,	
Independe	ent Claims	- 3 =		x \$ 200.00		
Multiple de	ependent o	laim(s) if Applicable)		0 +\$360.00		
	•		TOTAL OF	ABOVE CALCUATIONS =	\$	
☐ Appli	cant claims	s small entity status. See 37 CFR 1.27. The	ne fees indicated at	oove are reduced by 1/2.		
				SUBTOTAL =	\$	
Processing	g fee of \$1	30.00 for furnishing the English translation	n later than the	20 30 months from the		
earliest cla	simed prior	ity date (37 CFR 1.492(f)).			\$	
				TOTAL NATIONAL FEE=	\$	
Fee for	recording	the enclosed assignment (37 CFR 1.21(h))				
		appropriate cover sh		3.31), \$40.00 per property +		
			•	TOTAL FEES ENCLOSED=	\$170	\$
					Amount to be	\$
	refunded					
	K-7	21 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		· · · · · · · · · · · · · · · · · · ·	charged	\$
a.	\boxtimes	Checks in the amounts of \$130.00 and \$4	10.00 to cover the a	bove fees are enclosed.		
b.		Please charge my Deposit Account No. enclosed.	23-1925 in the am	nount of \$ to cover the	above fees. A duplicate	copy of this sheet is
C.	\boxtimes	The Commissioner is hereby authorized Account No. 23-1925. A duplicate copy of			required, or credit any ove	erpayment to Deposit
d.		Fees are to be charged to a credit card. not be included on this form. Provide of	WARNING: Inforcedit card information	mation on this form may be on and authorization on PT0	come public. Credit card D-2038.	information should
		propriate time limit under 37 CFR 1.494 or tion to pending status.	1.495 has not beer	n met, a petition to revive (33	7 CFR 1.137(a) or (b)) mus	t be filed and granted
Condition	`aman = = =	once to				
Send All C	onespond	ence to: Customer Number 48581		John Col	su 1	
		Customer Number 4000 I	<u> </u>	ignature		
				ohn G. Rauch		
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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.C. Box 1450 Alexandria, Vinginia 22313-1450 www.usplu.gov

FIRST NAMED APPLICANT ATTY. DOCKET NO. U.S. APPLICATION NUMBER NO. 10/501,430 Franz Schuler 10808/144 INTERNATIONAL APPLICATION NO. PCT/DE02/04521 48581 I.A. FILING DATE PRIORITY DATE BRINKS HOFER GILSON & LIONE, 11/11/15 12/10/2002 01/15/2002 INFINEON PO BOX 10395 CHICAGO, IL 60610 **CONFIRMATION NO. 9885 371 FORMALITIES LETTER** *OC000000014672641*

Date Mailed: 12/06/2004

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/13/2004
- Copy of the International Search Report filed on 07/13/2004
- Preliminary Amendments filed on 07/13/2004
- Information Disclosure Statements filed on 07/13/2004
- Request for Immediate Examination filed on 07/13/2004
- U.S. Basic National Fees filed on 07/13/2004
- Priority Documents filed on 07/13/2004

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR) 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

LAMONT M HUNTER

Telephone: (703) 305-3686

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	_	ATTY, DOCKET NO.
10/501.430	PCT/DE02/04521		10808/144

FORM PCT/DO/EO/905 (371 Formalities Notice)